

09-27-01



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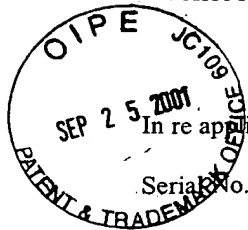
PATENT TRADEMARK OFFICE

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11-13-01

Docket No. PU3984US2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2151



In re application of: Coleman, Jr., William Edward

Serial No.: 09/829,664

Filed: April 10, 2001

For: CHARGEBACK CALCULATOR

Group Art Unit: 2151

Examiner:

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SEP 28 2001

Group 2100

Commissioner for Patents  
Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

- ☒ Copies of the references are enclosed
- ☐ Copies of the references were submitted in parent application Serial No. \_\_\_\_\_ (37 CFR 1.98(d))
- ☐ A copy of the International Search Report which issued on International Application No. \_\_\_\_\_ is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.

- A. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

OR

- ☐ The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).

- B. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but **before** the mailing date of either:
- (1) a final action under § 1.113 or
  - (2) a notice of allowance under § 1.311,
- whichever occurs first.

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

- ☐ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$240.00).

## CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as ~~first class~~ <sup>Express</sup> mail in an envelope addressed to the: Commissioner of Patents, Washington, D.C. 20231.

Date: Sept 25, 2001

Joyce Hartnett  
Joyce Hartnett

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**Docket No. PU3984US2**

- C. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.

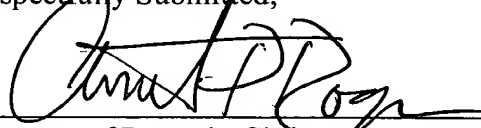
In accordance with the requirements of 37 CFR 1.97(d):

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ Applicant hereby petitions for the consideration of the accompanying Information Disclosure Statement. 37 CFR 1.97(d)(ii).
- ☐ The petition fee set forth in § 1.17(i)(1) (\$130.00) is submitted herewith.

☒ Please charge any required fees to Deposit Account No.07-1392.

☐ A duplicate copy of this paper is attached.

Respectfully Submitted,



Attorney of Record: Christopher P. Rogers  
Registration No. 36,334

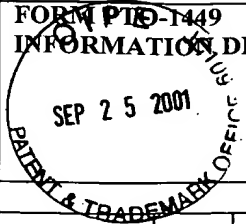
25 Sept 2001

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Page 1 of 1

<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				<b>ATTORNEY DOCKET NO.</b> PU3984US2		<b>SERIAL NO.</b> 09/829,664	
				<b>APPLICANT</b> Coleman, Jr., William Edward			
				<b>FILING DATE</b> April 10, 2001		<b>GROUP</b> 2151	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials		Patent Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,750,119	06/07/88	Cohen, Jeffery M., et. al.			
	AB	5,200,889	04/06/93	Mori, Kunio			
	AC	5,734,838	03/31/98	Robinson, W. Brent, et. al.			
	AD	5,774,870	06/30/98	Storey, Thomas W.			
	AE	5,909,023	06/01/99	Ono, Toshiyuki, et. al.			
	AF	5,915,244	06/22/99	Jack, John M., et. al.			
	AG	5,970,469	10/19/99	Scroggie, Michael C., et. al.			
	AH	5,983,196	11/09/99	Wendkos, Brad			
	AI	6,009,412	12/28/99	Storey, Thomas W.			
	AJ	6,014,634	01/11/00	Scroggie, Michael C., et. al.			
	AK	6,026,370	02/15/00	Jermyn, Michael G.			
	AL	6,049,778	04/11/00	Walker, Jay S., et. al.			
	AM	5,287,268	02/15/94	McCarthy, Patrick D.			
	AN	5,758,095	05/26/98	Albaum, David, et. al.			
	AO	5,956,693	09/21/99	Geerlings, Huib			
	AP	5,970,470	10/19/99	Walker, Jay S., et. al.			
	AQ	5,974,396	10/26/99	Anderson, Michael W., et. al.			
	AR	5,995,948	11/30/99	Whitford, Thomas E., et. al.			
	AS	6,002,771	12/14/99	Nielson, Jakob			
	AT	6,009,411	12/28/99	Kepecs, Jonathan			
	AU	6,078,897	06/20/00	Rubin, David R., et. al.			
	AV	5,597,995	01/28/97	Williams, Jeffrey P., et. al.			
	AW	5,644,778	07/01/97	Burks, James L., et. al.			
	AX	6,041,308	03/21/2000	Walker, Jay S., et. al.			
Continue on page _____							
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No
	BA	0 845 749 A2	06/03/98	Europe			
	BB	99/19819	04/22/99	PCT			
	BC	98/29823	07/09/98	PCT			
	BD	99/31602	06/24/99	PCT			
	BE	99/44111	09/02/99	PCT			
Continue on page _____							
<b>OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)</b>							
Continue on page _____							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **COLEMAN, Jr., William Edward**

Docket No.

**PU3984US2**

Serial No.

**09/829,664**

Filing Date

**April 10, 2001**

Examiner

Group Art Unit

**2151****CHARGEBACK CALCULATOR****RECEIVED****SEP 28 2001****Group 2100**I hereby certify that this **IDS with References***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on

September 25, 2001  
*(Date)***Joyce Hartnett***(Typed or Printed Name of Person Mailing Correspondence)*Joyce Hartnett*(Signature of Person Mailing Correspondence)***EL 395941591US**